

**Gary Klein**  
**August 29, 2017**

1 Volume I  
2 Pages 1-188

3 IN THE UNITED STATES DISTRICT COURT  
4 FOR THE DISTRICT OF MASSACHUSETTS

5 DAVID SETH WORMAN, et al.,  
6 Plaintiffs,  
7 vs.  
8 CHARLES D. BAKER, et al.,  
9 Defendants.

10

11 DEPOSITION OF GARY KLEIN  
12 Tuesday, August 29, 2017 at 9:10 a.m.  
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1 Testimony of: I N D E X  
 2 Direct

3 GARY KLEIN  
 4 by Mr. Sweeney 5

6	E X H I B I T S		
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21  
 22  
 23       **\*\*EXHIBITS SENT TO ATTORNEY SWEENEY\*\***  
 24

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1           A.    And individuals are responsible for  
2 registering individual sales.

3 Q. And in either event, no firearms was  
4 transferred to Massachusetts without registration,  
5 correct?

6 MR. PORTER: Objection to the form.

7 Q. According to law?

8 A. According to law, yes.

9 Q. And what agency processes those  
10 registrations?

11 A. It's CJIS, the Criminal Justice  
12 Information Service which is a division -- and  
13 you'll have a witness on this, and I apologize, I  
14 may not get this right, but my understanding is that  
15 it is a division that is operated by EOPSS,  
16 E-O-P-S-S, the Executive Office of Public Safety and  
17 Security.

18 Q. You'll defer to that witness who will be  
19 talking tomorrow on the details of that, but that's  
20 your understanding?

21           A.     Including on whether I have the exact name  
22     of the organization.

23 Q. That's fine. Does the Office of the  
24 Attorney General take a position with respect to

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1 whether the registration of a fireman is an approval  
2 of that firearm as being compliant with  
3 Massachusetts law?

4 A. Yes.

5 Q. And what is that position?

6 A. That it is not approval.

7 Q. And what is the basis for that position?

8 A. A number of things. The information  
9 registered wouldn't be sufficient to make a  
10 determination of whether the firearm has lawfully  
11 transferred.

12 Q. To your knowledge, is there any process  
13 within the Massachusetts government that is designed  
14 to ascertain transaction by transaction whether or  
15 not the firearm is compliant with Massachusetts  
16 law?

17 A. I would say the compliance review we  
18 undertook was consistent with making that  
19 evaluation.

20 Q. And what conclusions did your compliance  
21 review reach with respect to that evaluation?

22 MR. PORTER: Objection to the form of  
23 that question.

24 A. May I consult with Mr. Porter again on

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1 Q. Yes.

2 A. For the telephone inquiries I would say  
3 it's Dan Krockmalnic, who is making sure the  
4 inquiries are answered. And for e-mails it's  
5 typically me, and I would say written inquiries -- I  
6 said e-mails -- but there are other ways inquiries  
7 come into the office.

8 Q. Does Dan Krockmalnic consult with you on  
9 responses that he gives to the telephone  
10 inquiries?

11 A. From time to time.

12 Q. And you mentioned a telephone log. Do you  
13 review the log?

14 A. Occasionally.

15 Q. Do you ever give any guidance from the  
16 Office of the Attorney General by telephone or by  
17 e-mail to inquiries about the scope of the  
18 enforcement notice other than simply referring them  
19 to your website?

20 A. I would say that it's possible that we  
21 have, but mostly we refer people to the website on  
22 that kind of question.

23 Q. Had there been any inquires about whether  
24 or not an assault weapon obtained prior to July 20,

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1 2016 can be sold in Massachusetts by an individual  
2 gun owner?

3 A. Yes.

4 Q. What have you responded?

5 A. The typical answer is: We address this  
6 question on the website. Here's the web address.

7 That should answer your question.

8 Q. Have there been inquiries about whether or  
9 not an individual can sell an assault weapon  
10 obtained prior to July 20, 2016 to a dealer in  
11 Massachusetts?

12 A. To a dealer?

13 Q. Yes.

14 A. I can't say for sure.

15 Q. We talked earlier about the interrogatory  
16 answer that listed the individuals who were involved  
17 in preparing the Notice of Enforcement, and you  
18 confirmed that those were the individuals. I  
19 noticed that the Attorney General Maura Healey's  
20 name did not appear on that list.

21 Did she have any involvement in  
22 preparing the Notice of Enforcement?

23 A. Did she have any involvement? Yes.

24 Q. What was her involvement?